

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel M. Haller, et al.

Title: SYSTEM AND METHOD FOR FACILITATING XML ENABLED IMS
TRANSACTIONS

Application No.: NEW APPLICATION Filed: HEREWITH

Examiner: Group Art Unit:

Atty. Docket No.: SVL920030123US1

COMMISSIONER FOR PATENTS
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Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B
☐ Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

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3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a

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Elise K. Dougherty
Typed or Printed Name

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counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

STATEMENT UNDER 37 C.F.R. § 1.704(d)

If the above-identified application is an original application filed on or after May 29, 2000:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

FEES DUE

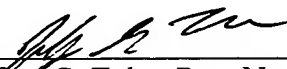
This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☐ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
- ☐ during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
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- ☐ during the period specified in § 1.97(d). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal. Additionally, each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.

Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 09-0460.

Respectfully submitted,

1-26-2024
Date


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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	New Application
Filing Date	Herewith
First Named Inventor	Daniel M. Haller
Art Unit	
Examiner Name	
Attorney Docket Number	SVL920030123US1

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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 2002-0038336 A1	03-28-2002	Abileah et al.	
	BB	US- 2002-0042849 A1	04-11-2002	Ho et al.	
	CC	US- 2002-0178299 A1	11-28-2002	Teubner	
	DD	US- 6,507,856 B1	01-14-2003	Chen et al.	
	EE	US- 6,601,071 B1	07-29-2003	Bowker et al.	
	FF	US- 6,519,617 B1	02-11-2003	Wanderski et al.	
	GG	US- 6,253,200 B1	06-26-2001	Smedley et al.	
	HH	US-			
	II	US-			
	JJ	US-			
	KK	US-			
	LL	US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Art Unit	
Examiner Name	
Attorney Docket Number	SVL920030123US1

Sheet

2

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	"HostBridge and WebSphere: Integrating CICS with IBM's Application Server," a HostBridge White Paper, 7/23/2002, pp. 1-34	
	BB	"S1215, WWW.IMS or Websphere Working with IMS," Ken Blackman, 39 pp. (date unknown)	
	CC	"Attunity Connect for Mainframe, Native OS/390 Adapters to Data and Legacy," 2003, pp. 1-3	
	DD	"Learning Management Systems XML and Web Services," Finn Gronbaek, IBM Corporation, copyright 2001, 4/20/03, pp. 1-29	
	EE		
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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